



## Addendum: Special Disclosure for Notionally Funded Accounts

Pursuant to the Commodity Advisory Agreement by and between ROE Capital Management (the "Advisor") and the undersigned (the "Client"), the Client has agreed to open one or more commodity trading accounts (the "Accounts") to be traded by the pursuant to the selected trading programs. The Client understands that trading leverage consists of two different components: cash and notional funds. Cash is the actual dollars given to the Advisor for use within the Accounts. Notional funds are the increase in dollars, above cash, which the Advisor is instructed by the Client to consider himself to be managing in the Accounts.

**The Accounts will be opened with the initial Cash Deposit listed on the Trading Program Selection page of this Commodity Advisory Agreement. The Client hereby instructs the Advisor to trade the Accounts as though they have been fully funded with the Trading Levels listed on the Trading Program Selection page of this Commodity Advisory Agreement, i.e., the "nominal account size."**

The difference between the initial deposit and the nominal account size shall represent the Accounts' "notional funds." For the following trading programs, unless instructed in writing by the Client, the nominal account size **SHALL NOT BE INCREASED OR DECREASED** to reflect trading gains or losses in the Account, fees and expenses charged to the Account and additions to or withdrawals from the Account: **Omnium, Statera and Statera Micro.**

For the following trading programs, the nominal account size **SHALL BE INCREASED OR DECREASED** to reflect trading gains or losses in the Account, fees and expenses charged to the Account and additions to or withdrawals from the Account: **ClearPath, Synthesis Index, Bitcoin E and Ethereum E.**

**The Client understands that the trading activity of the Accounts will be based upon its nominal account size.**

The Client has read and understands the following statement relating to the Accounts and the use of notional funds:

**You should request your commodity trading advisor to advise you of the amount of cash or other assets (actual funds) which should be deposited to the advisor's trading program for your accounts to be considered "fully funded." This is the amount upon which the commodity trading advisor will determine the number of contracts traded in your accounts and should be an amount sufficient to make it unlikely that any further cash deposits would be required from you over the course of your participation in the commodity trading advisor's program.**

**You are reminded that the account size you have agreed to in writing (the "nominal" or "notional" account size) is not the maximum possible loss that your account may experience.**

**You should consult the account statements received from your futures commission merchant in order to determine the actual activity in your account, including profits, losses, and current cash equity balance. To the extent that the equity in your account is at any time less than the nominal account size, you should be aware of the following:**



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1. Although your gains and losses, fees, and commissions measured in dollars will be the same, they will be greater when expressed as a percentage of account equity.
2. You may receive more frequent and larger margin calls.

The Client understands that using notional funds to increase the leverage at which the Advisor will trade can be expected to increase the rapidity of drawdowns and the volatility of the performance of the Advisor by increasing trading losses; however, the use of notional funds has the potential of increasing trading profits.

There can be no assurance as to which effect the leverage adjustments may have on the performance of the Advisor or on the performance of the Account. The Client understands that if he uses notional funds for additional leverage, the equity in the Accounts will erode much more quickly than if he does not use notional funds in the event the Accounts experience losing trades. For example, a rate of return of -20% for a fully funded account would translate to a rate of return of -40% for an account that is funded 50% with cash and 50% with notional funds.

The Client also understands that the management fee paid to the Advisor will be calculated based partly on the notional funds in the Accounts. As a result, the use of notional funds will increase the amount of management fees that the advisor will receive from the Client for trading the same amount of cash or actual funds, as shown in the following matrix:

Management Fees as a Percentage of Actual Funds at Various Funding Levels			
Management Fees	Funding Levels		
	25%	50%	100%
0%	0%	0%	0%
1%	4%	2%	1%
2%	8%	4%	2%

For example, the Advisor may receive a 2% management fee. If the Accounts are fully funded the Advisor will receive a management fee of 2% based on the actual funds in the Accounts. If the Accounts, however, are funded at only 50%, i.e., one half actual funds and one half notional funds, the 2% management fee, expressed as a percentage of actual funds, would be 4%. Similarly, while the per trade commission rate charged to the Client will not change, the use of notional funds will increase the aggregate amount of brokerage commissions paid by the Client since the number of trades initiated by the Advisor will increase.

**PLEASE SIGN ON THE NEXT PAGE.**



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### SPECIAL DISCLOSURE FOR NOTIONALLY FUNDED ACCOUNTS SIGNATURE PAGE

Client(s):

Accepted by

ROE Capital Management:

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Signature of Primary Applicant      Date

\_\_\_\_\_  
Signature      Date

\_\_\_\_\_  
Signature of Joint Applicant      Date